

Mutual Fund Distributors CPE Program

Eligibility Criteria for NISM Series V (a): Mutual Fund Distributors CPE Program

General Category

Any person holding any of the following Certificates:

- (a) NISM Series V (a): Mutual Fund Distributors Certification Examination
- (b) NISM Series V (a): Mutual Fund Distributors Continuing Professional Education**
- (c) AMFI Mutual Fund (Advisors) Module
- (d) IICM: Refresher Course on Mutual Funds*
- (e) CIEL: Refresher Course on Mutual Funds*

**The validity of the Certificate shall be deemed to be of five years from the date of issue of the Certificate*

and the validity of which has not expired may attend NISM Series V (a): Mutual Fund Distributors CPE Program, on submission of the Required Documents (*as mentioned in (B)*).

Also, any person holding a valid ARN Card, whether or not holding any of the above mentioned Certificates, may attend NISM Series V (a): Mutual Fund Distributors CPE Program, on submission of the Required Documents (*as mentioned in (B)*).

(On the suggestion of AMFI/Mutual Fund Distributors, this eligibility criterion has been included as a temporary measure for the operational ease of Candidates/Mutual Fund Distributors).

Note: Candidates can attend the CPE Program not before twelve months prior to the expiry of the said Certificate / ARN Card.

Grandfather by Age Category

Any associated person, other than Principal, who has completed the age of 50 years **as on May 31, 2010**, may attend NISM Series V (a): Mutual Fund Distributors CPE Program under the 'Grandfather by Age Category', on submission of the Required Documents (*as mentioned in (B)*).

Note: A person holding any of the Certificates, mentioned earlier, belongs to the 'General' Category irrespective of his/her age.

Grandfather by Experience Category

Any associated person, other than Principal, having experience of 10 years or more as a distributor, agent or employed or engaged in the sale and/or distribution of Mutual Fund products, **as on May 31, 2010**, may attend NISM Series V (a): Mutual Fund Distributors CPE Program under the 'Grandfather by Experience Category', on submission of the Required Documents (*as mentioned in (B)*).

Note: A person holding any of the Certificates, mentioned earlier, belongs to the 'General' Category irrespective of his/her age.

(B) Required Documents for NISM Series V (a): Mutual Fund Distributors CPE Program

The following Documents need to be verified at the CPE venue for attending the Mutual Fund Distributors CPE Program:

General Category

1. Copy of PAN Card
2. Copy of valid Certificate (*any of the above mentioned*)/ ARN Card

Grandfathered by Age Category

1. Copy of PAN Card

Grandfathered by Experience Category

1. Copy of PAN Card
2. **Proof of Experience**
A Letter from an [Asset Management Company](#), duly signed and stamped by **Chief Manager (or higher designation)** acknowledging that the participant has 10 years or more than 10 years of work experience in buying/selling/distribution of Mutual Funds, **as on May 31, 2010**

Please note that Candidates are required to **carry in original** the above-mentioned Documents (*under respective Categories*) to the CPE venue for verification in order to obtain admission to NISM Series V (a): Mutual Fund Distributors CPE Program.